

Department: Business Administration		Program: MBA	
Course Name	Financial Markets & Institutions	Course Code	FIN 625
Pre-requisite	Not Applicable	Credit Hours	3

Brief Description

- This course is devoted to providing students with a solid theoretical background about intermediate non-banking financial institutions and markets. Real-life case studies will be discussed, and published literature will support the suggested textbook.

The course provides students with an introduction to financial markets and institutions in the region and globally, which includes investment banks, stockbrokers, pooled investment funds, and stock exchanges, in addition to pension funds, saving and loan associations, mutual savings banks and credit unions, as well as instruments and participants involved in the industry.

Course Objectives

On successful completion of the course, student should be able to:

- Describe the role of regulatory bodies in regulating financial institutions and markets.
- Describe the types of securities that companies can use to raise equity capital and how these securities are listed and traded on the Stock Exchange.
- Develop abilities, skills, and approaches in thinking required in the decision-making process in financial institutions (analysis, composition, deduction, induction, application, assessment, and criticizing).
- Discuss financial markets and institutions in the region and globally.
- Discuss how monetary policy and interest rates applies to a range of financial institutions.
- Recognize a range of lending institutions and their application.

Course Learning Outcomes

- Distinguish between concepts, principles, and theories of Financial Markets & Institutions
- Apply the concepts, principles, and theories in different Financial Markets & Institutions situations
- Demonstrate written communication skills in Financial Markets & Institutions
- Have oral communication skills in Financial Markets & Institutions
- Have skills of using computer applications in Financial Markets & Institutions
- Have scientific research skills in the field of Financial Markets & Institutions
- Have the skills of critical thinking and analysis in the fields of Financial Markets & Institutions
- Have the skills in strategic thinking and finding solutions in Financial Markets & Institutions
- Believe, and practice sustainability in Financial Markets & Institutions

<ul style="list-style-type: none"> ➤ Have the skills of leadership, teamwork, and decision making in Financial Markets & Institutions ➤ Have the ability to distinguish between ethical issues in Financial Markets & Institutions ➤ Have the competence of social responsibility in the fields of Financial Markets & Institutions ➤ Have the competence to deal with cultural diversity in Financial Markets & Institutions situations
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Course Topics

<ul style="list-style-type: none"> ➤ Introduction & Overview of Financial Markets ➤ Interest Rates and Security Valuation ➤ Securities Markets ➤ Monetary Policy and Interest Rates. ➤ Bond Markets, Mortgage Markets, Stock Markets. ➤ Foreign Exchange Markets, Derivative Securities Markets. ➤ Commercial Banks ➤ Regulation of Commercial Banks ➤ Other Lending Institutions: Savings Institutions, Credit Unions, and Finance Companies ➤ Securities Firms and Investment Banks ➤ Insurance Companies
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Text Book

<ul style="list-style-type: none"> ➤ Saunder, A. and Millon Cornet, M. (2019). <i>Financial Markets and Institutions</i>. 7th edition. Singapore, McGraw-Hill Education.
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Additional References

<ul style="list-style-type: none"> ➤ Burton, M., Nesiba, R. F. and Brown, B. (2015). <i>An introduction to financial markets and institutions</i>. New York, Routledge

Online Resources

<ul style="list-style-type: none"> ➤ Bloomberg Terminal. ➤ Academic databases available through the University's Library website.

Course Outline

Week	Hours	Topics	Remarks
1	3	Introduction & Overview of Financial Markets	
2	3	Interest Rates and Security Valuation	
3	3	Monetary Policy and Interest Rates	
4	3	Bond Markets	

5	3	Mortgage Markets	
6	3	Stock Markets	
7	3	Foreign Exchange Markets	
8	3	Midterm exam	
9	3	Derivative Securities Markets	
10	3	Commercial Banks: Industry Overview	
11	3	Regulation of Commercial Banks	
12	3	Other Lending Institutions: Savings Institutions, Credit Unions, and Finance Companies	
13	3	Insurance Companies	
14	3	Insurance Companies	
15	3	Presentation	

Measurement and Assessment Tools														
Assessment Tools	Grades	Week	Knowledge	Skills						Values				
			Identify and apply	Written	Oral	Information Technology	Research	Critical thinking	Strategic thinking	Sustainability	Leadership	Ethics	Corporate Social responsibility	Cultural diversity
Midterm Exam	20	9	X											
Case Study	10	12		X				X	X	X	X	X	X	X
Project	20	15		X		X	X	X	X	X	X	X	X	X
Presentation	10	15			X	X								
Final Exam	40	TBD	X											
Total	100													

Approved by Department Chair	Date of Approval

Additional Information: Updated every Semester by Course Instructor	
Course Instructor	
Department	
Phone No.	
Extension No	
Email	