

Department:	Economic and Finance
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Course Name:	Financial Markets & Institutions	Course No.:	FIN 625
Prerequisite:	N/A	Credit Hours:	3

Brief Description:

This course is devoted to providing students solid theoretical background about the intermediate non-banking financial institutions and markets. Real life case studies will be discussed, and published literature will support the suggested textbook.

The course provides students also with introduction to financial markets and institutions in the region and global wide which includes investment banks, stockbrokers, pooled investment funds, and stock exchanges, in addition to pension funds, saving and loan associations, Mutual savings banks and Credit unions, in addition to instruments and participants involved in the industry.

Course Objectives:

On successful completion of the course, student should be able to:

1. Describe the role of regulatory bodies in regulating financial institutions and markets.
2. Describe the types of securities that companies can use to raise equity capital and how these securities are listed and traded on the Stock Exchange.
3. Develop abilities, skills, and approaches in thinking that required in decision making process in financial institutions. Which include analysis, composition, deduction, induction, application, assessment, and criticizing
4. Understand the financial markets and institutions in the region and global wide.

Course Topics:

1. Securities Markets
2. Monetary Policy and Interest Rates.
3. Bond Markets, Mortgage Markets, Stock Markets.
4. Foreign Exchange Markets, Derivative Securities Markets.
5. Commercial Banks
6. Regulation of Commercial Banks
7. Other Lending Institutions: Savings Institutions, Credit Unions, and Finance Companies
8. Securities Firms and Investment Banks

Textbook:

Anthony Saunder & Marcia Millon Cornet. Financial Markets and Institutions.7/e. McGraw-Hill Education, 2019

Additional References:

Burton, Maureen, Reynold F. Nesiba, and Bruce Brown. *An introduction to financial markets and institutions*. Routledge, 2015.

Online Resources:

- Bloomberg Terminal.
- Academic databases available through the University's Library website.

Measurement & Assessment Tools:					
Objectives			Obj 1.1 &1.2	Obj 2.1&2.2	Obj 3.1
Assessment tools	Grade	Week (Time period)	1	2	3
Midterm test	25	9 th	✗		
Project	15	12 th		✗	
Oral presentation	10	13 th		✗	
Assignment	10	15 th			✗
Final exam	40	As Dated	✗		
Total	100				

Tentative Course Outline:			
Week	Hours	Topics	Readings
1	3	Introduction & Overview of Financial Markets	Ch1
2	3	Interest Rates and Security Valuation	Ch2
3	3	Monetary Policy and Interest Rates.	Ch4
4	3	Bond Markets	Ch6
5	3	Bond Markets	Ch6
6	3	Mortgage Markets	Ch7
7	3	Stock Markets	Ch8
8	3	Stock Markets	Ch8
9	3	Midterm test	
10	3	Foreign Exchange Markets	Ch9
11	3	Derivative Securities Markets	Ch10
12	3	Commercial Banks: Industry Overview	Ch11
13	3	Regulation of Commercial Banks	Ch13
14	3	Other Lending Institutions: Savings Institutions, Credit Unions, and Finance Companies	Ch14
15	3	Insurance Companies	Ch15
16	3	Revision	

Approved by Dept. Chair:

Date of Approval:

Extra Information: (Updated every semester and filled by course instructor)

Course Instructor: Dr Abdullah Alhussaini
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Office Hours: Sunday: 11:00AM to 1:00PM; Monday: 11:00AM to 1:00PM and Tuesday: 11:00AM to 1:00PM